

# Symantec™ Control Compliance Suite Content Third-party License Agreements Readme

Version: 11.0



The software described in this book is furnished under a license agreement and may be used only in accordance with the terms of the agreement.

Documentation version: 11.0

PN:

## Legal Notice

Copyright © 2012 Symantec Corporation. All rights reserved.

Symantec and the Symantec Logo are trademarks or registered trademarks of Symantec Corporation or its affiliates in the U.S. and other countries. Other names may be trademarks of their respective owners.

This Symantec product may contain third party software for which Symantec is required to provide attribution to the third party ("Third Party Programs"). Some of the Third Party Programs are available under open source or free software licenses. The License Agreement accompanying the Software does not alter any rights or obligations you may have under those open source or free software licenses. Please see the Third Party Legal Notice Appendix to this Documentation or TPIP ReadMe File accompanying this Symantec product for more information on the Third Party Programs.

The product described in this document is distributed under licenses restricting its use, copying, distribution, and decompilation/reverse engineering. No part of this document may be reproduced in any form by any means without prior written authorization of Symantec Corporation and its licensors, if any.

THE DOCUMENTATION IS PROVIDED "AS IS" AND ALL EXPRESS OR IMPLIED CONDITIONS, REPRESENTATIONS AND WARRANTIES, INCLUDING ANY IMPLIED WARRANTY OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE OR NON-INFRINGEMENT, ARE DISCLAIMED, EXCEPT TO THE EXTENT THAT SUCH DISCLAIMERS ARE HELD TO BE LEGALLY INVALID. SYMANTEC CORPORATION SHALL NOT BE LIABLE FOR INCIDENTAL OR CONSEQUENTIAL DAMAGES IN CONNECTION WITH THE FURNISHING, PERFORMANCE, OR USE OF THIS DOCUMENTATION. THE INFORMATION CONTAINED IN THIS DOCUMENTATION IS SUBJECT TO CHANGE WITHOUT NOTICE.

The Licensed Software and Documentation are deemed to be commercial computer software as defined in FAR 12.212 and subject to restricted rights as defined in FAR Section 52.227-19 "Commercial Computer Software - Restricted Rights" and DFARS 227.7202, "Rights in Commercial Computer Software or Commercial Computer Software Documentation", as applicable, and any successor regulations. Any use, modification, reproduction release, performance, display or disclosure of the Licensed Software and Documentation by the U.S. Government shall be solely in accordance with the terms of this Agreement.

Symantec Corporation  
350 Ellis Street  
Mountain View, CA 94043  
<http://www.symantec.com>

# Technical Support

Symantec Technical Support maintains support centers globally. Technical Support's primary role is to respond to specific queries about product features and functionality. The Technical Support group also creates content for our online Knowledge Base. The Technical Support group works collaboratively with the other functional areas within Symantec to answer your questions in a timely fashion. For example, the Technical Support group works with Product Engineering and Symantec Security Response to provide alerting services and virus definition updates.

Symantec's support offerings include the following:

- A range of support options that give you the flexibility to select the right amount of service for any size organization
- Telephone and/or Web-based support that provides rapid response and up-to-the-minute information
- Upgrade assurance that delivers software upgrades
- Global support purchased on a regional business hours or 24 hours a day, 7 days a week basis
- Premium service offerings that include Account Management Services

For information about Symantec's support offerings, you can visit our Web site at the following URL:

[www.symantec.com/business/support/](http://www.symantec.com/business/support/)

All support services will be delivered in accordance with your support agreement and the then-current enterprise technical support policy.

## Contacting Technical Support

Customers with a current support agreement may access Technical Support information at the following URL:

[www.symantec.com/business/support/](http://www.symantec.com/business/support/)

Before contacting Technical Support, make sure you have satisfied the system requirements that are listed in your product documentation. Also, you should be at the computer on which the problem occurred, in case it is necessary to replicate the problem.

When you contact Technical Support, please have the following information available:

- Product release level

- Hardware information
- Available memory, disk space, and NIC information
- Operating system
- Version and patch level
- Network topology
- Router, gateway, and IP address information
- Problem description:
  - Error messages and log files
  - Troubleshooting that was performed before contacting Symantec
  - Recent software configuration changes and network changes

## Licensing and registration

If your Symantec product requires registration or a license key, access our technical support Web page at the following URL:

[www.symantec.com/business/support/](http://www.symantec.com/business/support/)

## Customer service

Customer service information is available at the following URL:

[www.symantec.com/business/support/](http://www.symantec.com/business/support/)

Customer Service is available to assist with non-technical questions, such as the following types of issues:

- Questions regarding product licensing or serialization
- Product registration updates, such as address or name changes
- General product information (features, language availability, local dealers)
- Latest information about product updates and upgrades
- Information about upgrade assurance and support contracts
- Information about the Symantec Buying Programs
- Advice about Symantec's technical support options
- Nontechnical presales questions
- Issues that are related to CD-ROMs, DVDs, or manuals

## Support agreement resources

If you want to contact Symantec regarding an existing support agreement, please contact the support agreement administration team for your region as follows:

Asia-Pacific and Japan [customercare\\_apac@symantec.com](mailto:customercare_apac@symantec.com)

Europe, Middle-East, and Africa [semea@symantec.com](mailto:semea@symantec.com)

North America and Latin America [supportsolutions@symantec.com](mailto:supportsolutions@symantec.com)

# Symantec™ Control Compliance Suite Content Third-party License Agreements

This document includes the following topics:

- [E-Government Act of 2002](#)
- [Gramm-Leach-Bliley Act](#)
- [Sarbanes-Oxley Act of 2002](#)
- [ARRA \(HITECH\)](#)
- [Instruction Number 8500.2 "Information Assurance \(IA\) Implementation"](#)
- [Industry Self-Assessment Checklist for Food Security \(April 2005\)](#)
- [Office of Strategic Trade Importer Self-Assessment Handbook \(September 2005\)](#)
- [CobiT 3rd Edition](#)
- [CobiT 4.0](#)
- [CobiT 4.1](#)
- [IT Control Objectives for Sarbanes-Oxley 2nd Edition](#)
- [AICPA Audit Committee Toolkit - Internal Control: A Tool for the Audit Committee](#)

- [AICPA Audit Committee Toolkit - Conducting an Audit Committee Executive Session: Guidelines and Questions](#)
- [AICPA Audit Committee Toolkit - Evaluating the Internal Audit Team: Guidelines and Questions](#)
- [AICPA Audit Committee Toolkit - Evaluating the Independent Auditor: Questions to Consider](#)
- [AICPA Audit Committee Toolkit - Guidelines for Hiring the Chief Audit Executive \(CAE\)](#)
- [Sarbanes-Oxley: The IT Dimension](#)
- [Sarbanes-Oxley Compliance Toolkit - Audit Committee SOX Compliance Checklist](#)
- [Sarbanes-Oxley Compliance Toolkit - Corporate Governance Compliance Checklist](#)
- [The US-CCU Cyber-Security Check List \(Final Version 2007\)](#)
- [Technology Risk Checklist \(May 2004 Version 7.3\)](#)
- [Information Assessment Protection Kit \(IPAK\)](#)
- [NIST SP 800-53](#)
- [NIST SP 800-53 Rev. 1](#)
- [NIST SP 800-53 Rev. 3](#)
- [NIST SP 800-53A](#)
- [NIST SP 800-122](#)
- [NIST SP 800-66](#)
- [NIST SP 800-30](#)
- [CMS Acceptable Risk Safeguards](#)
- [E-Mail Rules - A Business Guide to Managing Policies, Security, and Legal Issues for E-Mail and Digital Communication \(Book authored by Nancy Flynn and Randolph Kahn ESQ\)](#)
- [Security Assessment Checklist](#)
- [Security Awareness Culture](#)
- [Monthly Quizzes](#)



- Treasury Board of Canada: Privacy Impact Assessment Guidelines
- TRUSTe Security Guidelines 2.0 (November 2005)
- On the Recommended Practices on Notice of Security Breach Involving Personal Information (SB 1386 law included)
- PCI Materials License
- Sedona Conference
- COSO
- United Kingdom Office of Public Sector Information (OPSI) - UK Statute Law Database (SLD) - Data Protection Act of 1998 (plus updates)
- Defense Information Systems Agency (DISA) – Agency’s ACCESS CONTROL IN SUPPORT OF INFORMATION SYSTEMS SECURITY TECHNICAL IMPLEMENTATION GUIDE Version 2, Release 2
- Hospital Pandemic Influenza Planning Checklist
- Business Pandemic Influenza Planning Checklist
- Pandemic Preparedness Planning for US Businesses with Overseas Operations
- Health Insurer Pandemic Influenza Planning Checklist
- Travel Industry Pandemic Influenza Planning Checklist
- Long-Term Care and Other Residential Facilities Pandemic Influenza Planning Checklist
- Medical Offices and Clinics Pandemic Influenza Planning Checklist
- Home Health Care Services Pandemic Influenza Planning Checklist
- Faith-based and Community Organizations Pandemic Influenza Planning Checklist
- Emergency Medical Services and Non-Emergent (Medical) Transport Organizations Pandemic Influenza Planning Checklist
- Correctional Facilities Pandemic Influenza Planning Checklist
- Colleges and Universities Pandemic Influenza Planning Checklist
- Child Care and Preschool Pandemic Influenza Planning Checklist
- School district (K-12) Pandemic Influenza Planning Checklist

- [Law Enforcement Pandemic Influenza Planning Checklist](#)
- [NERC CIP version 2](#)
- [Authentication in an Internet Banking Environment](#)
- [BSI IT Security Guidelines](#)
- [Information Security ARS](#)
- [Data Protection Act 1998](#)
- [Sound Practices for the Management and Supervision of Operational Risk](#)
- [Basel II - International Convergence of Capital Measurement and Capital Standards](#)
- [BS ISO-IEC 20000 1-2005](#)
- [BS ISO-IEC 20000 2-2005](#)
- [Physical Security](#)
- [ISO 27002-2005](#)
- [Alaska Statute 45.48.010 et seq](#)
- [Arizona Revised Statute 44-7501](#)
- [Arkansas Code 4-110-101 et seq.](#)
- [California Civil Code 56.06, 1785.11.2, 1798.29, 1798.82](#)
- [Colorado Revised Statute 6-1-716](#)
- [Connecticut General Statutes 36a-701\(b\)](#)
- [Delaware Code title 6, 12B-101 et seq.](#)
- [Florida Statutory 817.5681](#)
- [Hawaii Revised Statute 487N-2](#)
- [815 ILCS 530/1 et seq.](#)
- [Indiana Code 24-4.9 et seq., 4-1-11 et seq., 2009 H.B. 1121](#)
- [Kansas Statutes 50-7a01, 50-7a02](#)
- [Louisiana Revised Statute 51:3071 et seq.](#)
- [Maine Revised Statute Title 10 1347 et seq., 2009 Public Law 161](#)

- [Michigan Comp. Laws 445.72](#)
- [Minnesota Statute 325E.61, 325E.64](#)
- [Missouri Revised Statute 407.1500](#)
- [Montana Code 30-14-1701 et seq., 2009 H.B. 155, Chapter 163](#)
- [Nebraska Revised Statute 87-801, -802, -803, -804, -805, -806, -807](#)
- [Nevada Revised Statute 603A.010 et seq.](#)
- [New Hampshire Revised Statutes 359-C:19, -C:20, -C:21](#)
- [New Jersey Statute 56:8-163](#)
- [New York State General Business Law 899-aa](#)
- [North Dakota Century Code 1-30-01 et seq.](#)
- [Ohio Revised Code 1349.19](#)
- [Oregon Revised Statute 646A.600 et seq.](#)
- [73 Pennsylvania Statutes 2303](#)
- [Rhode Island Gen. Laws 11-49.2-1 et seq.](#)
- [South Carolina Code 39-1-90](#)
- [Tennessee Code 47-18-2107, 2010 S.B. 2793](#)
- [Texas Business & Commerce Code 521.03](#)
- [Utah Code 13-44-101, -102, -201, -202, -310](#)
- [Vermont Statutes title 9 2430 et seq.](#)
- [Washington Revised Code 19.255.010, 2010 H.B. 1149 \(effective July 1, 2010\)](#)
- [Wisconsin Statute 134.98 et seq.](#)
- [District of Columbia Code 28- 3851 et seq.](#)
- [New York: General Business Law § 899-aa](#)
- [New York: NYS State Technology Law § 208](#)
- [N.Y. Gen. Bus. Law § 899-aa](#)
- [IT Examination Handbook - Audit Booklet](#)
- [IT Examination Handbook - Information Security Booklet](#)

- [201 CMR 17.00: Standards for The Protection of Personal Information of Residents of the Commonwealth](#)
- [Interagency Guidelines Establishing Information Security Standards Small-Entity Compliance Guide](#)
- [45 CFR Parts 160, 162, and 164 Health Insurance Reform: Security Standards; Final Rule](#)
- [Title 21 CFR Part 11 - Electronic Records; Electronic Signatures](#)
- [Title 21 CFR Part 820 - Quality System Regulation](#)
- [12 CFR Part 30](#)
- [12 CFR Part 208](#)
- [12 CFR Part 225](#)
- [12 CFR Part 364](#)
- [12 CFR Part 570](#)
- [12 CFR Part 748](#)
- [16 CFR Part 314 - STANDARDS FOR SAFEGUARDING CUSTOMER INFORMATION](#)
- [17 CFR Part 248 Section 248.30](#)
- [17 CFR Part 160 Section 160.30 - Procedures to safeguard customer records and information](#)
- [12 CFR Part 41](#)
- [12 CFR Part 222](#)
- [12 CFR Part 334](#)
- [12 CFR Part 571](#)
- [12 CFR Part 717](#)
- [16 CFR Part 681](#)
- [HHS - Guidance Specifying the Technologies and Methodologies That Render Protected Health Information Unusable, Unreadable, or Indecipherable to Unauthorized Individuals for Purposes of the Breach Notification Requirements Under Section 13402 of Title XIII \(Health Information Technology for Economic and Clinical Health Act\) of the American Recovery and Reinvestment Act of 2009; Request for Information](#)

- [On the Setting of the Standards and Practice Standards for Management Assessment and Audit concerning Internal Control Over Financial Reporting \(Council Opinions\)](#)
- [NERC 1300](#)
- [ISO/IEC 27001:2005](#)
- [CSA Cloud Controls Matrix](#)
- [AICPA- SAS70,SSAE16](#)
- [Commonwealth of Australia 2011-ISM](#)
- [NERC CIP 4](#)
- [SANS Top 20 Security Controls](#)
- [Unified Compliance Framework](#)
- [ISO-IEC 20000 1-2005 and ISO-IEC 20000 2-2005](#)

## E-Government Act of 2002

Portions of this Symantec product contain content provided by the following individuals or organizations, U.S. Congress: E-Government Act of 2002.

## Gramm-Leach-Bliley Act

Portions of this Symantec product contain content provided by the following individuals or organizations, U.S. Congress: Gramm-Leach-Bliley Act.

## Sarbanes-Oxley Act of 2002

Portions of this Symantec product contain content provided by the following individuals or organizations, U.S. Congress: Sarbanes-Oxley Act of 2002.

## ARRA (HITECH)

Portions of this Symantec product contain content provided by the following individuals or organizations, U.S. Congress: ARRA Act of 2009.

## **Instruction Number 8500.2 "Information Assurance (IA) Implementation"**

Portions of this Symantec product contain content provided by the following individuals or organizations, U.S. Department of Defense (DoD): Instruction Number 8500.2 Information Assurance (IA) Implementation (February 6, 2003).

## **Industry Self-Assessment Checklist for Food Security (April 2005)**

Most information presented on the USDA Web site is considered public domain information. Public domain information may be freely distributed or copied, but use of appropriate byline/photo/image credits is requested. Attribution may be cited as follows: "U. S. Department of Agriculture."

## **Office of Strategic Trade Importer Self-Assessment Handbook (September 2005)**

Portions of this Symantec product contain content provided by the following individuals or organizations: U.S. Customs and Border.

## **CobiT 3rd Edition**

This product includes COBIT® 3rd Edition, which is used by permission of the IT Governance Institute (ITGI). ©1996, 2000 IT Governance Institute. All rights reserved. COBIT is a registered trademark of the Information Systems Audit and Control Association and the IT Governance Institute.

## **CobiT 4.0**

This product includes COBIT® 3rd Edition, which is used by permission of the IT Governance Institute (ITGI). ©1996, 2000 IT Governance Institute. All rights reserved. COBIT is a registered trademark of the Information Systems Audit and Control Association and the IT Governance Institute.

## **CobiT 4.1**

This product includes COBIT® 3rd Edition, which is used by permission of the IT Governance Institute (ITGI). ©1996, 2000 IT Governance Institute. All rights reserved. COBIT is a registered trademark of the Information Systems Audit and Control Association and the IT Governance Institute.

## **IT Control Objectives for Sarbanes-Oxley 2nd Edition**

This product includes IT Control Objectives for Sarbanes-Oxley 2nd Edition, which is used by permission of the IT Governance Institute (ITGI). ©2007 ITGI. All rights reserved.

## **AICPA Audit Committee Toolkit - Internal Control: A Tool for the Audit Committee**

This Internal Control: A Tool for the Audit Committee ("Content") which is included in the Symantec Control Compliance Suite and Response Assessment module under license, is the property of the American Institute of Certified Public Accountants (AICPA). This Content may not be reproduced in whole or any part without attaching this copyright notice, and without the express permission of the AICPA.

## **AICPA Audit Committee Toolkit - Conducting an Audit Committee Executive Session: Guidelines and Questions**

This Conducting an Audit Committee Executive Session: Guidelines and Questions ("Content") which is included in the Symantec Control Compliance Suite and Response Assessment module under license, is the property of the American Institute of Certified Public Accountants (AICPA). This Content may not be reproduced in whole or any part without attaching this copyright notice, and without the express permission of the AICPA.

## **AICPA Audit Committee Toolkit - Evaluating the Internal Audit Team: Guidelines and Questions**

This Evaluating the Internal Audit Team: Guidelines and Questions ("Content") which is included in the Symantec Control Compliance Suite and Response Assessment module under license, is the property of the American Institute of Certified Public Accountants (AICPA). This Content may not be reproduced in whole or any part without attaching this copyright notice, and without the express permission of the AICPA.

## **AICPA Audit Committee Toolkit - Evaluating the Independent Auditor: Questions to Consider**

This Evaluating the Independent Auditor: Questions to Consider ("Content") which is included in the Symantec Control Compliance Suite and Response Assessment module under license, is the property of the American Institute of Certified Public Accountants (AICPA). This Content may not be reproduced in whole or any part without attaching this copyright notice, and without the express permission of the AICPA.

## **AICPA Audit Committee Toolkit - Guidelines for Hiring the Chief Audit Executive (CAE)**

This Guidelines for Hiring the Chief Audit Executive (CAE) ("Content") which is included in the Symantec Control Compliance Suite and Response Assessment module under license, is the property of the American Institute of Certified Public Accountants (AICPA). This Content may not be reproduced in whole or any part without attaching this copyright notice, and without the express permission of the AICPA.

## **Sarbanes-Oxley: The IT Dimension**

Portions of this Symantec product contain content provided by the following individuals or organizations, The Institute of Internal Auditors (IIA):  
Sarbanes-Oxley: The IT Dimension.



## **Sarbanes-Oxley Compliance Toolkit - Audit Committee SOX Compliance Checklist**

This "AUDIT COMMITTEE SOX COMPLIANCE CHECKLIST" which is included in the Symantec Response Assessment Module under license, is the property of Easy2solve (www.Easy2solve.com). This Document may not be reproduced in whole or any part without attaching this copyright notice, and without the express permission of Easy2solve, the registered owners of the "RUsecure" trademark.

## **Sarbanes-Oxley Compliance Toolkit - Corporate Governance Compliance Checklist**

This "CORPORATE GOVERNANCE COMPLIANCE CHECKLIST" which is included in the Symantec Response Assessment Module under license, is the property of Easy2solve (www.Easy2solve.com). This Document may not be reproduced in whole or any part without attaching this copyright notice, and without the express permission of Easy2solve, the registered owners of the "RUsecure" trademark.

## **The US-CCU Cyber-Security Check List (Final Version 2007)**

Portions of this Symantec product contain content provided by the following individuals or organizations, The United States Cyber Consequences Unit(US-CCU): The US-CCU Cyber-Security Check List (Final Version 2007).

## **Technology Risk Checklist (May 2004 Version 7.3)**

Portions of this Symantec product contain content provided by the following individuals or organizations, The World Bank: Technology Risk Checklist (May 2004 Version 7.3).

## **Information Assessment Protection Kit (IPAK)**

Portions of this Symantec product contain content provided by the following individuals or organizations, Rebecca Herold:Information Assessment Protection Kit (IPAK) - 2002 iPAK-e.

## **NIST SP 800-53**

Portions of this Symantec product contain content provided by the following individuals or organizations, National Institute of Standards and Technology (NIST): NIST Special Publication (SP) 800-53 Recommended Security Controls for Federal Information Systems.

## **NIST SP 800-53 Rev. 1**

Portions of this Symantec product contain content provided by the following individuals or organizations, National Institute of Standards and Technology (NIST): NIST Special Publication (SP) 800-53 Rev.1 Recommended Security Controls for Federal Information Systems.

## **NIST SP 800-53 Rev. 3**

Portions of this Symantec product contain content provided by the following individuals or organizations, National Institute of Standards and Technology (NIST): NIST Special Publication (SP) 800-53 Rev.3 Recommended Security Controls for Federal Information Systems.

## **NIST SP 800-53A**

Portions of this Symantec product contain content provided by the following individuals or organizations, National Institute of Standards and Technology (NIST): NIST Special Publication (SP) 800-53A Guide for Assessing the Security Controls in Federal Information Systems.

## **NIST SP 800-122**

Portions of this Symantec product contain content provided by the following individuals or organizations, National Institute of Standards and Technology (NIST): NIST Special Publication (SP) 800-122 Guide to Protecting the Confidentiality of Personally Identifiable Information (PII).

## **NIST SP 800-66**

Reprinted from the National Institute of Standards and Technology (NIST) Technology Administration. U.S. Department of Commerce. This information is accurate as of \_\_\_\_\_ (CHRIS PLEASE FILL IN DATE) and based on the NIST Risk

Management Guide for Information Technology Systems Special Publication 800-30. Please check <http://csrc.nist.gov/publications/nistpubs/800-30/sp800-30.pdf> for the latest version of this regulation. Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## NIST SP 800-30

Reprinted from the National Institute of Standards and Technology (NIST) Technology Administration. U.S. Department of Commerce. This information is accurate as of \_\_\_\_\_ (CHRIS PLEASE FILL IN DATE) and based on the NIST Risk Management Guide for Information Technology Systems Special Publication 800-30. Please check <http://csrc.nist.gov/publications/nistpubs/800-30/sp800-30.pdf> for the latest version of this regulation. Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## CMS Acceptable Risk Safeguards

CMS Information Security (IS) Acceptable Risk Safeguards (ARS) – Appendix A – SMSR High Impact Level Data – Source: Centers for Medicare & Medicaid Services (CMS), an agency of the Department of Health and Human Services (HHS)

<http://www.cms.gov/InformationSecurity>

## E-Mail Rules - A Business Guide to Managing Policies, Security, and Legal Issues for E-Mail and Digital Communication (Book authored by Nancy Flynn and Randolph Kahn ESQ)

Portions of this Symantec product contain content provided by the following individuals or organizations, American Management Association (AMA):E-Mail Rules - A Business Guide to Managing Policies, Security, and Legal Issues for E-Mail and Digital Communication (Book authored by Nancy Flynn and Randolph

Kahn ESQ). Adapted by permission from E-Mail and Digital Communication © 2003 Nany Flynn and Randolph Kahn ESQ, published by AMACOM, division of American Management Association, New York, New York [www.amacombooks.org](http://www.amacombooks.org).

## **Security Assessment Checklist**

Portions of this Symantec product contain content provided by the following individuals or organizations, Melissa Guenther, Security Assessment Checklist.

## **Security Awareness Culture**

Portions of this Symantec product contain content provided by the following individuals or organizations, Melissa Guenther, Security Awareness Culture.

## **Monthly Quizzes**

Portions of this Symantec product contain content provided by the following individuals or organizations, Melissa Guenther, Security Awareness Culture.

## **Treasury Board of Canada: Privacy Impact Assessment Guidelines**

Portions of this Symantec product contain content provided by the following individuals or organizations, Treasury Board of Canada (TBoC): Privacy Impact Assessment Guidelines: A Framework to Manage Privacy Risks.

## **TRUSTe Security Guidelines 2.0 (November 2005)**

Portions of this Symantec product contains content provided by the following individuals or organizations, TRUSTe: TRUSTe Security Guidelines 2.0 (November 2005).

## On the Recommended Practices on Notice of Security Breach Involving Personal Information (SB 1386 law included)

Portions of this Symantec product contain content provided by the following individuals or organizations, California Office of Privacy Protection: Recommended Practices on Notice of Security Breach Involving Personal Information.

### PCI Materials License

Portions of this product are provided courtesy of PCI Security Standards Council, LLC ("PCI SSC") and/or its licensors. © 2007 PCI Security Standards Council, LLC. All rights reserved. Neither PCI SSC nor its licensors endorses this product, its provider or the methods, procedures, statements, views, opinions or advice contained herein. All references to documents, materials or portions thereof provided by PCI SSC (the "PCI SSC Materials") should be read as qualified by the actual PCI Materials. For questions regarding the PCI SSC Materials, please contact PCI SSC through its web site at <https://www.pcisecuritystandards.org>.

### Sedona Conference

"This The Sedona Principles Second Edition which is included in the Control Compliance Suite 9.0 under license, is the property of The Sedona Conference®. This Content may not be reproduced in whole or any part without attaching this copyright notice, and without the prior written permission of the Sedona Conference."

### COSO

This COSO Enterprise Risk Management - Integrated Framework which is included in the Symantec Control Compliance Suite under license, is the property of the American Institute of Certified Public Accountants (AICPA). This Content may not be reproduced in whole or any part without attaching this copyright notice and without express permission of the AICPA.

## **United Kingdom Office of Public Sector Information (OPSI) - UK Statute Law Database (SLD) - Data Protection Act of 1998 (plus updates)**

Data Protection Act 1998 (c.29) is reproduced under the terms of the Crown Copyright Policy Guidance issued by HMSO

## **Defense Information Systems Agency (DISA) – Agency’s ACCESS CONTROL IN SUPPORT OF INFORMATION SYSTEMS SECURITY TECHNICAL IMPLEMENTATION GUIDE Version 2, Release 2**

Use of the Defense Information Systems Agency’s (DISA) Access Control in Support of Information Systems Security Technical Implementation Guide Version 2, Release 2 is courtesy of The Defense Information Systems Agency’s Security and Privacy guidelines located at [http://www.disa.mil/about/legal/security\\_privacy.html](http://www.disa.mil/about/legal/security_privacy.html).

## **Hospital Pandemic Influenza Planning Checklist**

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov) . The original checklist is available at:

<http://www.flu.gov/professional/hospital/hospitalchecklist.html>

## **Business Pandemic Influenza Planning Checklist**

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov) . The original checklist is available at:

<http://www.flu.gov/professional/business/businesschecklist.html>

## **Pandemic Preparedness Planning for US Businesses with Overseas Operations**

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/business/businessoversea.html>

## **Health Insurer Pandemic Influenza Planning Checklist**

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/business/healthinsurer.html>

## **Travel Industry Pandemic Influenza Planning Checklist**

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/business/travelchecklist.html>

## **Long-Term Care and Other Residential Facilities Pandemic Influenza Planning Checklist**

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/hospital/longtermcarechecklist.html>

## Medical Offices and Clinics Pandemic Influenza Planning Checklist

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/hospital/medical.html>

## Home Health Care Services Pandemic Influenza Planning Checklist

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/hospital/healthcare.html>

## Faith-based and Community Organizations Pandemic Influenza Planning Checklist

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/community/faithcomchecklist.html>

## Emergency Medical Services and Non-Emergent (Medical) Transport Organizations Pandemic Influenza Planning Checklist

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/hospital/emgncymedical.html>



## Correctional Facilities Pandemic Influenza Planning Checklist

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/business/correctionchecklist.html>

## Colleges and Universities Pandemic Influenza Planning Checklist

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/school/collegeschecklist.html>

## Child Care and Preschool Pandemic Influenza Planning Checklist

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/school/preschool.html>

## School district (K-12) Pandemic Influenza Planning Checklist

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/school/schoolchecklist.html>

## Law Enforcement Pandemic Influenza Planning Checklist

This checklist has been adapted by Symantec for the Response Assessment Module component of the Control Compliance Suite from material at the U.S. Health and Human Services website: [www.flu.gov](http://www.flu.gov) or [www.pandemicflu.gov](http://www.pandemicflu.gov). The original checklist is available at:

<http://www.flu.gov/professional/business/lawenforcement.html>

## NERC CIP version 2

This Critical Infrastructure Protection Reliability Standards (including CIP-001 through CIP-009) which is included in the Symantec Control Compliance Suite product (including Response Assessment Manager and Content Studio) under license, is the property of North American Electric Reliability Corporation (NERC). This Content may not be reproduced in whole or any part without attaching this copyright notice, and without the express permission of North American Electric Reliability Corporation.

## Authentication in an Internet Banking Environment

Reprinted with permission from the Federal Financial Institutions Examination Council <http://www.ffiec.gov>. Symantec does not warrant or guarantee the accuracy, completeness, or fitness for purpose of the FFIEC material, and shall in no circumstances be liable for any loss, damage, liability or expense suffered by any person in connection with reliance by that person on any such material.

## BSI IT Security Guidelines

© BSI Federal Office for Information Security. All Rights Reserved

## Information Security ARS

CMS Information Security (IS) Acceptable Risk Safeguards (ARS) – Appendix A – SMSRHigh Impact Level Data – Source: Centers for Medicare&Medicaid Services (CMS), an agency of the Department of Health and Human Services (HHS).

<http://www.cms.gov/InformationSecurity>

## Data Protection Act 1998

Data Protection Act 1998 (c.29) is reproduced under the terms of the Crown Copyright Policy Guidance issued by HMSO.

## Sound Practices for the Management and Supervision of Operational Risk

Symantec, the BIS nor the Basel Committee on Banking Supervision warrants or guarantees the accuracy, completeness, or fitness for purpose of the BIS material, and shall in no circumstances be liable for any loss, damage, liability or expense suffered by any person in connection with reliance by that person on any such material. Neither the BIS nor the Basel Committee on Banking Supervision: (a) is liable for the relevant Symantec release; (b) has reviewed it; or (c) endorses it.

## Basel II - International Convergence of Capital Measurement and Capital Standards

The questionnaire located in the BIS publication International Convergence of Capital Measurement and Capital Standards located at <http://www.bis.org/publ/bcbs128.pdf> is reprinted with permission from the Bank of International Settlements. Symantec, the BIS nor the Basel Committee on Banking Supervision warrants or guarantees the accuracy, completeness, or fitness for purpose of the BIS material, and shall in no circumstances be liable for any loss, damage, liability or expense suffered by any person in connection with reliance by that person on any such material. Neither the BIS nor the Basel Committee on Banking Supervision: (a) is liable for the relevant Symantec release; (b) has reviewed it; or (c) endorses it.

## BS ISO-IEC 20000 1-2005

The BS ISO-IEC 20000 1-2005 and BS ISO-IEC 20000 2-2005 questionnaires are reprinted with permission from the British Standards Institution (BSI). None of the BSI standards content either in whole or in part may be reproduced, stored in a retrieval system, or transmitted in any form or by any means without prior permission from the BSI. Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the BSI ISO questionnaires listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in the BSI ISO questionnaires.

## BS ISO-IEC 20000 2-2005

The BS ISO-IEC 20000 1-2005 and BS ISO-IEC 20000 2-2005 questionnaires are reprinted with permission from the British Standards Institution (BSI). None of the BSI standards content either in whole or in part may be reproduced, stored in a retrieval system, or transmitted in any form or by any means without prior permission from the BSI. Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the BSI ISO questionnaires listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in the BSI ISO questionnaires.

## Physical Security

© ISO All rights reserved. The Physical Security ISO questionnaire is reprinted with permission from the American National Standards Institute, Inc. (ANSI). Any use of the ANSI material, including reproduction in whole or in part to another Internet site, requires permission in writing from ANSI. Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the Physical Security ISO questionnaire listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in the Physical Security ISO questionnaire.

## ISO 27002-2005

© ISO All rights reserved. The Physical Security ISO questionnaire is reprinted with permission from the American National Standards Institute, Inc. (ANSI). Any use of the ANSI material, including reproduction in whole or in part to another Internet site, requires permission in writing from ANSI. Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the Physical Security ISO questionnaire listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in the Physical Security ISO questionnaire.

## Alaska Statute 45.48.010 et seq

Reprinted with permission from the Alaska Legislative Reference Library. This information is accurate as of 10/30/2010 and based Alaska Statute § 45.48.010 et seq.

Please check the following link for the latest version of this regulation:

[http://www.legis.state.ak.us/basis/get\\_bill\\_text.asp?hsid=HB0065Z&session=25](http://www.legis.state.ak.us/basis/get_bill_text.asp?hsid=HB0065Z&session=25)

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Arizona Revised Statute 44-7501

Reprinted with permission from the Arizona Legislative Council. This information is accurate as of 10/30/2010 and based on Arizona Revised Statute § 44-7501.

Please check the following link for the latest version of this regulation:

<http://www.azleg.state.az.us/FormatDocument.asp?inDoc=/ars/44/07501.htm&Title=44&DocType=ARS>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Arkansas Code 4-110-101 et seq.

Reprinted with permission from Arkansas State. This information is accurate as of 10/30/2010 and based on Arkansas Code § 4-110-101 et seq.

Please check for the latest version of this regulation

<http://www.dis.arkansas.gov/security/Documents/Act2255.pdf>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## California Civil Code 56.06, 1785.11.2, 1798.29, 1798.82

Reprinted with permission from the California Legislative Counsel. This information is accurate as of 10/30/2010 and based on California Civil Code §§ 56.06, 1785.11.2, 1798.29, 1798.82.

Please check the following link for the latest version of this regulation:

[http://info.sen.ca.gov/pub/07-08/bill/asm/ab\\_1251-1300/](http://info.sen.ca.gov/pub/07-08/bill/asm/ab_1251-1300/)

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Colorado Revised Statute 6-1-716

Reprinted with permission from Colorado State. This information is accurate as of 10/30/2010 and based the Colorado's Rev. Stat §6-1-716.

Please check the following link for the latest version of this regulation:

[http://www.leg.state.fl.us/Statutes/index.cfm?App\\_mode=Display\\_Statute&Search\\_String=&URL=Ch0817/SEC5681.HTM&Title=->2005->Ch0817->Section%205681#0817](http://www.leg.state.fl.us/Statutes/index.cfm?App_mode=Display_Statute&Search_String=&URL=Ch0817/SEC5681.HTM&Title=->2005->Ch0817->Section%205681#0817)

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Connecticut General Statutes 36a-701(b)

Reprinted with permission from Connecticut State. This information is accurate as of 10/30/2010 and based on the General Statutes of Connecticut Section 36a-701b.

Please check the following link for the latest version of this regulation:

<http://www.cga.ct.gov/2009/pub/chap669.htm#Sec36a-701b.htm>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec

expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Delaware Code title 6, 12B-101 et seq.

The Delaware Code appearing on this site was prepared by the Division of Research of Legislative Council of the General Assembly with the assistance of the Government Information Center, under the supervision of the Delaware Code Revisors and the editorial staff of LexisNexis, includes all acts effective as of September 11, 2010 up to and including 77 Del. Laws, c. 475.

DISCLAIMER: Please Note: With respect to the Delaware Code documents available from this site or server, neither the State of Delaware nor any of its employees, makes any warranty, express or implied, including the warranties of merchantability and fitness for a particular purpose, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately-owned rights. This information is provided for informational purposes only. Please seek legal counsel for help on interpretation of individual statutes.

SYMANTEC NOTICE and DISCLAIMER: Reprinted with permission from the State of Delaware. This information is accurate as of 10/30/2010 and based on Delaware Code Title 6, § 12B-101 et seq.

Please check the following link for the latest version of this regulation:

<http://delcode.delaware.gov/title6/c012b/index.shtml>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Florida Statutory 817.5681

Reprinted with permission from the Florida Division of Statutory Revision. This information is accurate as of 10/30/2010 and based Florida Statute 817.5681.

Please check the following link for for the latest version of this regulation:

[http://www.leg.state.fl.us/Statutes/index.cfm?App\\_mode=Display\\_Statute&Search\\_String=&URL=Ch0817/SEC5681.HTM&Title=->2005->Ch0817->Section%205681#0817.5681](http://www.leg.state.fl.us/Statutes/index.cfm?App_mode=Display_Statute&Search_String=&URL=Ch0817/SEC5681.HTM&Title=->2005->Ch0817->Section%205681#0817.5681)

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Hawaii Revised Statute 487N-2

Reprinted with permission from Hawaii State. This information is accurate as of 10/30/2010 and based the Hawaii Revised Statute § 487N-2.

Please check the following link for the latest version of this regulation:

<http://hawaii.gov/dlnr/reports-to-the-legislature/2009/land/LD09-Security-Breach-of-Personal-Information.pdf>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 815 ILCS 530/1 et seq.

Reprinted with permission from the Illinois General Assembly. This information is accurate as of 10/30/2010 and based on Illinois 815 ILCS 530/1 et seq.

Please check the following link for the latest version of this regulation:

<http://www.ilga.gov/legislation/ilcs/ilcs3.asp?ActID=2702>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Indiana Code 24-4.9 et seq., 4-1-11 et seq., 2009 H.B. 1121

Reprinted from the Indiana Official Code powered by Westlaw, available at the following two sites with the permission of Thomson Reuters and the Indiana Legislative Services Agency:

■ <http://www.in.gov/legislative/ic/code/title24/ar4.9/>



- <http://www.in.gov/legislative/ic/code/title4/ar1/ch11.html>

This information is accurate as of 10/30/2010 and based on Indiana's Code §§ 24-4.9 et seq., 4-1-11 et seq.

Please check the following links for the latest versions of this regulation:

- <http://www.in.gov/legislative/ic/code/title24/ar4.9/>
- <http://www.in.gov/legislative/ic/code/title4/ar1/ch11.html>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Kansas Statutes 50-7a01, 50-7a02

Reprinted with permission from Kansas State. This information is accurate as of January 30, 2011 and based on Kansas Statutes 50-7a01 and 50-7a02.

Please check the following link for the latest version of this regulation:

<http://www.kslegislature.org/legsrv-statutes/getStatuteInfo.do>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Louisiana Revised Statute 51:3071 et seq.

Reprinted with permission from Louisiana State. This information is accurate as of 10/30/2010 and based on Louisiana Revised Statute §51:3071.

Please check the following link for the latest version of this regulation:

<http://www.legis.state.la.us/>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Maine Revised Statute Title 10 1347 et seq., 2009 Public Law 161

Reprinted with permission from The State of Maine. This information is accurate as of 10/30/2010 and based on Maine Revised Statute Title 10 §§ 1347 et seq., 2009 Public Law 161. The State of Maine claims a copyright in its codified statutes. If you intend to republish this material, we require that you include the following disclaimer in your publication: All copyrights and other rights to statutory text are reserved by the State of Maine. The text included in this publication is current to the end of the Second Regular Session of the 124nd Legislature, which ended April 12, 2010, but is subject to change without notice. It is a version that has not been officially certified by the Secretary of State. Refer to the Maine Revised Statutes Annotated and supplements for certified text.

Please check the following link for the latest version of this regulation:

<http://www.mainelegislature.org/legis/statutes/10/title10sec1347.html>

. Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Michigan Comp. Laws 445.72

Portions of this work are reproduced with permission of the copyright holder, the Michigan Legislative Council.

[http://www.legislature.mi.gov/\(S\(vkctjf45zirdso452pudt2up\)\)/mileg.aspx?page=GetObject&objectname=mcl-445-72](http://www.legislature.mi.gov/(S(vkctjf45zirdso452pudt2up))/mileg.aspx?page=GetObject&objectname=mcl-445-72)

This information is accurate as of 10/30/2010 and based on Michigan Comp. Laws 445.72.

Please check the following link for the latest version of this regulation:

[http://www.legislature.mi.gov/\(S\(ynbeddrzqm0o4y45jlaavl45\)\)/mileg.aspx?page=GetObject&objectname=mcl-445-72](http://www.legislature.mi.gov/(S(ynbeddrzqm0o4y45jlaavl45))/mileg.aspx?page=GetObject&objectname=mcl-445-72)

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Minnesota Statute 325E.61, 325E.64

Reprinted with permission of the Minnesota Office of the River of Statutes. This information is accurate as of 10/30/2010 and based on Minnesota Statute §§ 325E.61, 325E.64.

Please check the following link for the latest version of this regulation:

<https://www.revisor.mn.gov/statutes/>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Missouri Revised Statute 407.1500

Permission for use of Section 407.1500, RSMo, was given by the Joint Committee on Legislative Research, the copyright holder. This information is accurate as of 10/30/2010 and based on Missouri Revised Statute § 407.1500.

Please check the following link for the latest version of this regulation:

<http://www.moga.mo.gov/statutes/c400-499/4070001500.htm>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Montana Code 30-14-1701 et seq., 2009 H.B. 155, Chapter 163

Reprinted with permission from Montana Legislative Services. This information is accurate as of 10/30/2010 and based on Montana Code § 30-14-1701 et seq., 2009 H.B. 155, Chapter 16.

Please check the following link for the latest version of this regulation:

[http://data.opi.mt.gov/bills/mca\\_toc/30\\_14\\_17.htm](http://data.opi.mt.gov/bills/mca_toc/30_14_17.htm)

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or

performance of, reference to, or reliance on the information contained in such publications.

## **Nebraska Revised Statute 87-801, -802, -803, -804, -805, -806, -807**

Reprinted with permission from the State of Nebraska. This information is accurate as of 10/30/2010 and based on Nebraska Revised Statute §§ 87-801, -802, -803, -804, -805, -806, -807. Please check Utah State Legislature Website for the latest version of this regulation.

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## **Nevada Revised Statute 603A.010 et seq.**

Reprinted with permission from Nevada State. This information is accurate as of 10/30/2010 and based the Nevada Statute 603A.

Please check the following link for the latest version of this regulation:

<http://www.leg.state.nv.us/NRS/NRS-603A.html>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## **New Hampshire Revised Statutes 359-C:19, -C:20, -C:21**

Reprinted with permission from the State of New Hampshire Revised Statutes Online found at the following site, with the permission of the State of New Hampshire Office of the Director of Legislative Services and Thomson Reuters:

<http://www.gencourt.state.nh.us/rsa/>

This information is accurate as of 10/30/2010 and based on New Hampshire Revised Statutes 359-C:19, -C:20, -C:21.

Please check the following link for the latest version of this regulation:

<http://www.gencourt.state.nh.us/rsa/>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## New Jersey Statute 56:8-163

Reprinted with permission from the State of New Jersey. This information is accurate as of 10/30/2010 and based on New Jersey Statute 56:8-163.

Please check the following link for the latest version of this regulation:

[http://www.njleg.state.nj.us/2004/bills/pl05/226\\_.htm](http://www.njleg.state.nj.us/2004/bills/pl05/226_.htm)

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## New York State General Business Law 899-aa

Reprinted with permission from the Assistant Counsel of the New York Office of Cyber Security. This information is accurate as of 10/30/2010 and based on New York State General Business Law 99-aa.

Please check the following link for the latest version of this regulation:

[http://public.leginfo.state.ny.us/LAWSSEAF.cgi?QUERYTYPE=LAWS+&QUERYDATA=\\$\\$GBS899-AA\\$\\$@TXGBS0899-AA+&LIST=SEA3+&BROWSER=EXPLORER+&TOKEN=05304403+&TARGET=VIEW](http://public.leginfo.state.ny.us/LAWSSEAF.cgi?QUERYTYPE=LAWS+&QUERYDATA=$$GBS899-AA$$@TXGBS0899-AA+&LIST=SEA3+&BROWSER=EXPLORER+&TOKEN=05304403+&TARGET=VIEW)

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## North Dakota Century Code 1-30-01 et seq.

Reprinted with permission from North Dakota State. This information is accurate as of 10/30/2010 and based North Dakota Century Code 51-30-01 et seq.

Please check the following link for the latest version of this regulation:

<http://www.legis.nd.gov/cencode/t51c30.pdf>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Ohio Revised Code 1349.19

Reprinted with permission from Ohio State. This information is accurate as of 10/30/2010 and based on Ohio Revised Code 1347.12, 1349.19, 1349.191 & 1349.192.

Please check the following link for the latest version of this regulation:

<http://codes.ohio.gov/orc/1347.12>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Oregon Revised Statute 646A.600 et seq.

Reprinted with permission from Oregon State Legislature. This information is accurate as of 10/30/2010 and based on the Oregon Revised Statute 646A.600 et seq.

Please check the following link for the latest version of this regulation:

<http://www.leg.state.or.us/ors/646a.html>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 73 Pennsylvania Statutes 2303

Reprinted from the Unofficial Purdon's Pennsylvania Statutes from West, powered by Westlaw, available at the following link, with the permission of Thomson Reuters:

<http://www.legis.state.pa.us/>

This information is accurate as of 10/30/2010 and based on Pennsylvania Chapter 43.

Please check the following link for the latest version of this regulation:

<http://www.legis.state.pa.us/>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Rhode Island Gen. Laws 11-49.2-1 et seq.

Reprinted with permission from Rhode Island State. This information is accurate as of 10/30/2010 and based Rhode Island General Laws 11-49.2-1 et seq.

Please check the following link for the latest version of this regulation:

<http://www.rilin.state.ri.us/Billtext/BillText05/HouseText05/H6191.pdf>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## South Carolina Code 39-1-90

This information is accurate as of 10/30/2010 and based on South Carolina Code 39-1-90.

Please check the following link for the latest version of this regulation:

<http://www.scstatehouse.gov/code/t39c001.htm>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or

performance of, reference to, or reliance on the information contained in such publications.

## Tennessee Code 47-18-2107, 2010 S.B. 2793

Reprinted from the State of Tennessee. This information is accurate as of 10/30/2010 and based on Tennessee Code 47-18-2107.

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Texas Business & Commerce Code 521.03

Reprinted with permission from Texas State. This information is accurate as of 10/30/2010 and based on Texas Business & Commerce Code 521.03.

Please check the following link for the latest version of this regulation:

<http://www.statutes.legis.state.tx.us/Docs/BC/htm/BC.521.htm>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Utah Code 13-44-101, -102, -201, -202, -310

Reprinted with permission from the State of Utah. This information is accurate as of 10/30/2010 and based on Utah Code 13-44-101, -102, -201, -202, -310.

Please check Utah State Legislature Website at the following link for the latest version of this regulation.

[http://le.utah.gov/~code/TITLE13/13\\_44.htm](http://le.utah.gov/~code/TITLE13/13_44.htm)

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.



## Vermont Statutes title 9 2430 et seq.

Reprinted with permission from Vermont State Legislature. This information is accurate as of 10/30/2010 and based Vermont Code 2435.

Please check the following link for the latest version of this regulation:

<http://www.leg.state.vt.us/statutes/fullsection.cfm?Title=09&Chapter=062&Section=02435>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Washington Revised Code 19.255.010, 2010 H.B. 1149 (effective July 1, 2010)

Reprinted with permission from Washington State. This information is accurate as of 10/30/2010 and based on Washington Revised Code 19.255.010, 2010 H.B. 1149 (effective July 1, 2010).

Please check the following link for the latest version of this regulation:

<http://apps.leg.wa.gov/billinfo/summary.aspx?year=2010&bill=1149#documents>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Wisconsin Statute 134.98 et seq.

Reprinted with permission from the State of Wisconsin. This information is accurate as of 10/30/2010 and based on Wisconsin Statute 134.98.

Please check the following link for the latest version of this regulation:

<http://privacy.wi.gov/business/pdf/IDTheftDataBreach607.pdf>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## District of Columbia Code 28- 3851 et seq.

Reprinted from the District of Columbia Official Code powered by Westlaw, available at the following site, with the permission of Thomson Reuters:

<http://www.dccouncil.washington.dc.us/dcofficialcode>

This information is accurate as of 10/30/2010 and based on District of Columbia Code 28- 3851 et seq.

Please check the following link for the latest version of this regulation:

<http://www.dccouncil.washington.dc.us/dcofficialcode>

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## New York: General Business Law § 899-aa

Reprinted with permission from the Assistant Counsel of the New York Office of Cyber Security.

This information is accurate as of 10/30/2010 and based on the New York State General Business Law § 899-aa.

Please check the following link for the latest version of this regulation:

[http://public.leginfo.state.ny.us/LAWSSEAF.cgi?QUERYTYPE=LAWS+&QUERYDATA=\\$GBS899-AA\\$\\$@TXGBS0899-&AA+&LIST=SEA3+&BROWSER=EXPLORER+&TOKEN=05304403+&TARGET=VIEW](http://public.leginfo.state.ny.us/LAWSSEAF.cgi?QUERYTYPE=LAWS+&QUERYDATA=$GBS899-AA$$@TXGBS0899-&AA+&LIST=SEA3+&BROWSER=EXPLORER+&TOKEN=05304403+&TARGET=VIEW).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## New York: NYS State Technology Law § 208

This information is accurate as of 07/29/2011 and based on the New York: NYS State Technology Law § 208 at [http://public.leginfo.state.ny.us/LAWSSEAF.cgi?QUERYTYPE=LAWS+&QUERYDATA=\\$SSTT208\\$\\$@TXSTT0208+&LIST=SEA6+&BROWSER=EXPLORER+&TOKEN=33448952+&TARGET=VIEW](http://public.leginfo.state.ny.us/LAWSSEAF.cgi?QUERYTYPE=LAWS+&QUERYDATA=$SSTT208$$@TXSTT0208+&LIST=SEA6+&BROWSER=EXPLORER+&TOKEN=33448952+&TARGET=VIEW).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## N.Y. Gen. Bus. Law § 899-aa

Reprinted with permission from the Assistant Counsel of the New York Office of Cyber Security.

This information is accurate as of 10/30/2010 and based on the New York State General Business Law § 899-aa.

Please check the following link for the latest version of this regulation:

[http://public.leginfo.state.ny.us/LAWSSEAF.cgi?QUERYTYPE=LAWS+&QUERYDATA=\\$\\$GBS899-AA\\$\\$@TXGBS0899-&AA+&LIST=SEA3+&BROWSER=EXPLORER+&TOKEN=05304403+&TARGET=VIEW.](http://public.leginfo.state.ny.us/LAWSSEAF.cgi?QUERYTYPE=LAWS+&QUERYDATA=$$GBS899-AA$$@TXGBS0899-&AA+&LIST=SEA3+&BROWSER=EXPLORER+&TOKEN=05304403+&TARGET=VIEW)

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## IT Examination Handbook - Audit Booklet

This information is accurate as of 07/29/2011 and based on the IT Examination Handbook - Audit Booklet at

<http://www.ffiec.gov/ffiecinfobase/booklets/audit/audit.pdf>.

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## IT Examination Handbook - Information Security Booklet

This information is accurate as of 07/29/2011 and based on the IT Examination Handbook - Information Security Booklet at

[http://www.ffiec.gov/ffiecinfobase/booklets/information\\_security/information\\_security.pdf](http://www.ffiec.gov/ffiecinfobase/booklets/information_security/information_security.pdf).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 201 CMR 17.00: Standards for The Protection of Personal Information of Residents of the Commonwealth

This information is accurate as of 07/29/2011 and based on the Commonwealth of Massachusetts regulation 201 CMR 17.00: Standards for The Protection of Personal Information of Residents of the Commonwealth at [http://www.mass.gov/?pageID=ocamodulechunk&L=1&LO=Home&sid=Eoca&b=terminalcontent&f=idtheft\\_201cmr17&csid=Eoca](http://www.mass.gov/?pageID=ocamodulechunk&L=1&LO=Home&sid=Eoca&b=terminalcontent&f=idtheft_201cmr17&csid=Eoca).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## Interagency Guidelines Establishing Information Security Standards Small-Entity Compliance Guide

This information is accurate as of 07/29/2011 and based on the Interagency Guidelines Establishing Information Security Standards Small-Entity Compliance Guide at <http://www.ots.treas.gov/docs/2/25231.pdf>.

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 45 CFR Parts 160, 162, and 164 Health Insurance Reform: Security Standards; Final Rule

Portions of this Symantec product contain content provided by the following individuals or organizations, U.S. Department of Health and Human Services (HHS): 45 CFR Parts 160, 162, and 164, Health Insurance Reform: Security Standards; Final Rule (Federal Register Feb. 20, 2003).

## Title 21 CFR Part 11 - Electronic Records; Electronic Signatures

Portions of this Symantec product contain content provided by the following individuals or organizations, U.S. Food and Drug Administration (FDA): Title 21 CFR Part 11 - Electronic Records; Electronic Signatures.

## Title 21 CFR Part 820 - Quality System Regulation

Portions of this Symantec product contain content provided by the following individuals or organizations, U.S. Food and Drug: Title 21 CFR Part 820 - Quality System Regulation.

## 12 CFR Part 30

This information is accurate as of 07/29/2011 and based on 12 CFR Part 30 - Appendix B to Part 30 - Interagency Guidelines Establishing Information Security Standards and Supplement A to Appendix B to Part 30 - Interagency Guidance on Response Programs for Unauthorized Access to Customer Information and Customer Notice at [http://a257.g.akamaitech.net/7/257/2422/14feb20071500/edocket.access.gpo.gov/cfr\\_2007/janqtr/pdf/12cfr30.6.pdf](http://a257.g.akamaitech.net/7/257/2422/14feb20071500/edocket.access.gpo.gov/cfr_2007/janqtr/pdf/12cfr30.6.pdf).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 12 CFR Part 208

This information is accurate as of 07/29/2011 and based on 12 CFR Part 208 - Appendix D-2 To Part 208 - Interagency Guidelines Establishing Information

Security Standards and Supplement A to Appendix D-2 to Part 208 – Interagency Guidance on Response Programs for Unauthorized Access to Customer Information and Customer Notice at [http://a257.g.akamaitech.net/7/257/2422/14feb20071500/edocket.access.gpo.gov/cfr\\_2007/janqtr/pdf/12cfr208.101.pdf](http://a257.g.akamaitech.net/7/257/2422/14feb20071500/edocket.access.gpo.gov/cfr_2007/janqtr/pdf/12cfr208.101.pdf).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 12 CFR Part 225

This information is accurate as of 07/29/2011 and based on 12 CFR Part 225 - Appendix F To Part 225 - Interagency Guidelines Establishing Information Security Standards and Supplement A to Appendix F to Part 225 – Interagency Guidance on Response Programs for Unauthorized Access to Customer Information and Customer Notice at [http://a257.g.akamaitech.net/7/257/2422/26mar20071500/edocket.access.gpo.gov/cfr\\_2007/janqtr/pdf/12cfr225.200.pdf](http://a257.g.akamaitech.net/7/257/2422/26mar20071500/edocket.access.gpo.gov/cfr_2007/janqtr/pdf/12cfr225.200.pdf).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 12 CFR Part 364

This information is accurate as of 07/29/2011 and based on 12 CFR Part 364 - Appendix B to Part 364 - Interagency Guidelines Establishing Information Security Standards and Supplement A to Appendix B to Part 364 – Interagency Guidance on Response Programs for Unauthorized Access to Customer Information and Customer Notice at [http://a257.g.akamaitech.net/7/257/2422/14feb20071500/edocket.access.gpo.gov/cfr\\_2007/janqtr/pdf/12cfr364.101.pdf](http://a257.g.akamaitech.net/7/257/2422/14feb20071500/edocket.access.gpo.gov/cfr_2007/janqtr/pdf/12cfr364.101.pdf).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 12 CFR Part 570

This information is accurate as of 07/29/2011 and based on 12 CFR Part 570 - Appendix B to Part 570 - Interagency Guidelines Establishing Information Security Standards and Supplement A to Appendix B to Part 570 – Interagency Guidance on Response Programs for Unauthorized Access to Customer Information and Customer Notice at [http://a257.g.akamaitech.net/7/257/2422/14feb20071500/edocket.access.gpo.gov/cfr\\_2007/janqtr/pdf/12cfr570.5.pdf](http://a257.g.akamaitech.net/7/257/2422/14feb20071500/edocket.access.gpo.gov/cfr_2007/janqtr/pdf/12cfr570.5.pdf) .

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 12 CFR Part 748

This information is accurate as of 07/29/2011 and based on 12 CFR Part 748 - APPENDIX A TO PART 748 - GUIDELINES FOR SAFEGUARDING MEMBER INFORMATION and Appendix B to Part 748 – Interagency Guidance on Response Programs for Unauthorized Access to Member Information and Member Notice at [http://a257.g.akamaitech.net/7/257/2422/26mar20071500/edocket.access.gpo.gov/cfr\\_2007/janqtr/pdf/12cfr748.2.pdf](http://a257.g.akamaitech.net/7/257/2422/26mar20071500/edocket.access.gpo.gov/cfr_2007/janqtr/pdf/12cfr748.2.pdf).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 16 CFR Part 314 - STANDARDS FOR SAFEGUARDING CUSTOMER INFORMATION

This information is accurate as of 07/29/2011 and based on 16 CFR Part 314 - STANDARDS FOR SAFEGUARDING CUSTOMER INFORMATION at [http://www.access.gpo.gov/nara/cfr/waisidx\\_07/16cfr314\\_07.html](http://www.access.gpo.gov/nara/cfr/waisidx_07/16cfr314_07.html).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 17 CFR Part 248 Section 248.30

This information is accurate as of 07/29/2011 and based on 17 CFR Part 248 Section 248.30 - Procedures to safeguard customer records and information; disposal of consumer report information at [http://a257.g.akamaitech.net/7/257/2422/03jul20071500/eodocket.access.gpo.gov/cfr\\_2007/aprqrtr/pdf/17cfr248.30.pdf](http://a257.g.akamaitech.net/7/257/2422/03jul20071500/eodocket.access.gpo.gov/cfr_2007/aprqrtr/pdf/17cfr248.30.pdf).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 17 CFR Part 160 Section 160.30 - Procedures to safeguard customer records and information

This information is accurate as of 07/29/2011 and based on 17 CFR Part 160 Section 160.30 - Procedures to safeguard customer records and information at [http://a257.g.akamaitech.net/7/257/2422/26mar20071500/eodocket.access.gpo.gov/cfr\\_2007/aprqrtr/pdf/17cfr160.30.pdf](http://a257.g.akamaitech.net/7/257/2422/26mar20071500/eodocket.access.gpo.gov/cfr_2007/aprqrtr/pdf/17cfr160.30.pdf).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 12 CFR Part 41

This information is accurate as of 07/29/2011 and based on 12 CFR Part 41 - Sec. 41.82 Duties of users regarding address discrepancies., Sec. 41.90 Duties regarding the detection, prevention, and mitigation of identity theft., Sec. 41.91 Duties of card issuers regarding changes of address., and Appendix J to Part 41--Interagency Guidelines on Identity Theft Detection, Prevention, and Mitigation at [http://www.access.gpo.gov/nara/cfr/waisidx\\_08/12cfr41\\_08.html](http://www.access.gpo.gov/nara/cfr/waisidx_08/12cfr41_08.html).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.



## 12 CFR Part 222

This information is accurate as of 07/29/2011 and based on 12 CFR Part 222 - Sec. 222.82 Duties of users regarding address discrepancies., Sec. 222.90 Duties regarding the detection, prevention, and mitigation of identity theft., Sec. 222.91 Duties of card issuers regarding changes of address., and Appendix J to Part 222--Interagency Guidelines on Identity Theft Detection, Prevention, and Mitigation at [http://www.access.gpo.gov/nara/cfr/waisidx\\_08/12cfr222\\_08.html](http://www.access.gpo.gov/nara/cfr/waisidx_08/12cfr222_08.html).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 12 CFR Part 334

This information is accurate as of 07/29/2011 and based on 12 CFR Part 334 - Sec. 334.82 Duties of users regarding address discrepancies., Sec. 334.90 Duties regarding the detection, prevention, and mitigation of identity theft., Sec. 334.91 Duties of card issuers regarding changes of address., and Appendix J to Part 334--Interagency Guidelines on Identity Theft Detection, Prevention, and Mitigation at [http://www.access.gpo.gov/nara/cfr/waisidx\\_08/12cfr334\\_08.html](http://www.access.gpo.gov/nara/cfr/waisidx_08/12cfr334_08.html).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 12 CFR Part 571

This information is accurate as of 07/29/2011 and based on 12 CFR Part 571 - Sec. 571.82 Duties of users regarding address discrepancies., Sec. 571.90 Duties regarding the detection, prevention, and mitigation of identity theft., Sec. 571.91 Duties of card issuers regarding changes of address., and Appendix J to Part 571--Interagency Guidelines on Identity Theft Detection, Prevention, and Mitigation at [http://www.access.gpo.gov/nara/cfr/waisidx\\_08/12cfr571\\_08.html](http://www.access.gpo.gov/nara/cfr/waisidx_08/12cfr571_08.html).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 12 CFR Part 717

This information is accurate as of 07/29/2011 and based on 12 CFR Part 717 - Sec. 717.82 Duties of users regarding address discrepancies., Sec. 717.90 Duties regarding the detection, prevention, and mitigation of identity theft., Sec. 717.91 Duties of card issuers regarding changes of address., and Appendix J to Part 717--Interagency Guidelines on Identity Theft Detection, Prevention, and Mitigation at <http://frwebgate1.access.gpo.gov/cgi-bin/PDFgate.cgi?WAISdocID=178225493310+0+1+0&WAIAction=retrieve>.

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## 16 CFR Part 681

This information is accurate as of 07/29/2011 and based on 16 CFR Part 681 - Sec. 681.1 Duties of users regarding address discrepancies., Sec. 681.2 Duties regarding the detection, prevention, and mitigation of identity theft., Sec. 681.3 Duties of card issuers regarding changes of address., and Appendix A to Part 681--Interagency Guidelines on Identity Theft Detection, Prevention, and Mitigation at [http://www.access.gpo.gov/nara/cfr/waisidx\\_08/16cfr681\\_08.html](http://www.access.gpo.gov/nara/cfr/waisidx_08/16cfr681_08.html).

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

HHS - Guidance Specifying the Technologies and Methodologies That Render Protected Health Information Unusable, Unreadable, or Indecipherable to Unauthorized Individuals for Purposes of the Breach Notification Requirements Under Section 13402 of Title XIII (Health Information Technology for Economic and Clinical Health Act) of the American Recovery and Reinvestment Act of 2009; Request for Information

## **HHS - Guidance Specifying the Technologies and Methodologies That Render Protected Health Information Unusable, Unreadable, or Indecipherable to Unauthorized Individuals for Purposes of the Breach Notification Requirements Under Section 13402 of Title XIII (Health Information Technology for Economic and Clinical Health Act) of the American Recovery and Reinvestment Act of 2009; Request for Information**

This information is accurate as of 07/29/2011 and based on HHS - Guidance Specifying the Technologies and Methodologies That Render Protected Health Information Unusable, Unreadable, or Indecipherable to Unauthorized Individuals for Purposes of the Breach Notification Requirements Under Section 13402 of Title XIII (Health Information Technology for Economic and Clinical Health Act) of the American Recovery and Reinvestment Act of 2009; Request for Information at

<http://www.hhs.gov/ocr/privacy/hipaa/understanding/coveredentities/hitechrfi.pdf>.

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## **On the Setting of the Standards and Practice Standards for Management Assessment and Audit concerning Internal Control Over Financial Reporting (Council Opinions)**

Please check the following link for information:

<http://www.fsa.go.jp/en/copyright/index.html>

All information contained in the "Financial Services Agency Homepage" is subject to copyright. In addition, the entire "Financial Services Agency Homepage" is also subject to copyright as a compilation work. Copyrights are protected under the

Copyright Law of Japan and under relevant international treaties. The whole or any part of the content of this Homepage may be quoted, reprinted or reproduced if its origin is indicated clearly in a proper manner. However, we prohibit any quotation, reprint or reproduction that could alter the content of this Homepage.

## NERC 1300

The NERC 1300 which is included in the Symantec Control Compliance Suite under license, is the property of North American Electric Reliability Corporation. This Content may not be reproduced in whole or any part without attaching this copyright notice, and without the express permission of North American Electric Reliability Corporation.

## ISO/IEC 27001:2005

©ISO. All rights reserved.

## CSA Cloud Controls Matrix

This product contains the Cloud Controls Matrix & CSA Consensus Assessments Initiative Questionnaire, permission for its usage has been granted by Cloud Security Alliance.

## AICPA- SAS70,SSAE16

This Support SAS 70,SSAE 16 Regulatory Content which is included in the Symantec Control compliance suite product under license, is the property of the American Institute of certified Public accountants (AICPA). This content may not be reproduced in whole or any part without attaching this copyright notice, and without express permission of the AICPA.

## Commonwealth of Australia 2011-ISM

Reprinted with permission from Defence Signals Directorate.

This information is accurate as of 10/24/2011.

Please check the following link for the latest version of this regulation:

[http://www.dsd.gov.au/publications/Information\\_Security\\_Manual\\_2010\\_revisedAug11.pdf](http://www.dsd.gov.au/publications/Information_Security_Manual_2010_revisedAug11.pdf)

Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the code listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in such publications.

## NERC CIP 4

This Critical Infrastructure Protection Reliability Standards (including CIP-001 through CIP-009), which is included in the Symantec Control Compliance Suite product (including Response Assessment Manager and Content Studio) under license, is the property of North American Electric Reliability Corporation (NERC). This Content may not be reproduced in whole or any part without attaching this copyright notice, and without the express permission of North American Electric Reliability Corporation.

## SANS Top 20 Security Controls

Symantec will provide mapping from SANS Top 20 high level control names to Symantec's own control library and high level summary of mapped controls, based on the concept provided in the white paper 'Twenty Critical Security Controls for Effective Cyber Defense: Consensus Audit Guidelines (CAG), Version 3.0' dated August 15, 2011, courtesy of SANS Institute and/or its licensors, and which can be found at <http://www.sans.org/critical-security-controls/cag3.pdf> (<http://www.sans.org/critical-security-controls/cag3.pdf>). Customers interested in evaluating their environment against the 20 Critical Security Controls must visit the SANS website located at [www.sans.org](http://www.sans.org) to obtain full details and to review the SANS recommendations to implement such controls.

## Unified Compliance Framework

“© Network Frontiers, LLC and Latham & Watkins LLP. All rights reserved.”

## ISO-IEC 20000 1-2005 and ISO-IEC 20000 2-2005

The ISO-IEC 20000 1-2005 and ISO-IEC 20000 2-2005 questionnaires are reprinted with permission from the American National Standards Institute (ANSI). None of the ANSI standards content either in whole or in part may be reproduced, stored in a retrieval system, or transmitted in any form or by any means without prior permission from the ANSI. Symantec makes no representations, warranties or guarantees as to, and disclaims all responsibility for, the accuracy of the ANSI

ISO questionnaires listed herein, and Symantec expressly disclaims all liability for damages of any kind arising out of the use or performance of, reference to, or reliance on the information contained in the ANSI ISO questionnaires. ©ISO. All rights reserved.